

# Account Application

## 账户申请表



### Return Options 寄回方式:

**Electronically via Message Center**  
从讯息中心电子递送:

Log in and go to Client Services > Message Center to attach the file

登入并进入客户服务>讯息中心·将文件加为附件

### Regular Mail 常规邮寄:

PO Box 2760, Omaha, NE 68103-2760

### Overnight Mail 隔夜邮寄:

200 South 108th Avenue Omaha, NE 68154-2631

Fax 传真: 866-468-6268

Questions? Call a New Accounts representative at 800-276-8746. Please visit us at [www.tdameritrade.com](http://www.tdameritrade.com) for more information about opening an account.

**Note: Form must be completed in English. Forms completed in any other language will not be accepted. In case of any discrepancy between the English and Chinese version of this form, the English version shall prevail.**

有疑问? 致电800-276-8746给新账户客服代表。请访问[chinese.tdameritrade.com](http://chinese.tdameritrade.com)获取关于开设账户的更多信息。

请注意: 表格必须用英文填写。用其他文字填写的表格将不会被接受。若本表格的英文与中文版本内容有任何差异, 以英文版本为准。

## 1 Type of Account (Please select only one.) 账户类型 (单选)

If you are establishing a Joint account and do not check a box, the account will be registered as Joint Tenants with Rights of Survivorship. If the account owners do not qualify for the joint account type selected, then the joint account type will default to Joint Tenants with Rights of Survivorship.

State laws vary. You should consult with a tax or legal advisor to determine if the account ownership you wish to select is available in your state.

For residents of Louisiana, if married the account type will default to Community Property, if not married account type will default to Tenants in Common.

如果您建立一个共同账户而没有勾选选框, 那么账户将被注册为生存权共同账户。如果不符合所选账户类型的条件, 你们的共同账户类型将被默认为生存权共同账户。

各州法律不同。您应该咨询税务或法律顾问来决定您想选择的账户所有权是否在您所在州可用。

对于路易斯安那州的居民, 如果已婚你们的账户类型将被默认为群体资产共同账户, 如果未婚你们的账户类型将默认为共有人共同账户。

- Individual in your name only (non-IRA). 仅有您姓名的个人账户(非IRA)
- Joint Tenants with Rights of Survivorship (JTWROS). If a Joint owner dies, his/her interest passes to the other Account Owner. (not available to residents of Louisiana)  
生存权共同账户(JTWROS)。如果共同所有人死亡, 那么他/她的利益将转移给另外的账户持有人。(不适用于路易斯安那州的居民)
- Community Property. AZ, CA, ID, LA, NM, NV, PR, TX, WA, WI residents only (spouses only).  
共有财产共同账户(Community Property)。仅限亚利桑那、加利福尼亚州、爱达华州、路易斯安那州、新墨西哥州、内华达州、波多黎各、德克萨斯州、华盛顿州、威斯康辛州居民(仅限配偶)
- Tenants in Common - \_\_\_\_\_ % Owner \_\_\_\_\_ % Co-Owner (50/50, unless otherwise noted).  
共有人共同账户 \_\_\_\_\_ 持有人比率 \_\_\_\_\_ 共同持有人比率(除非另行注明否则为50/50)。  
If a Joint owner dies, his/her interest passes to his/her estate. 如果共同所有人死亡, 那么他/她的利益将转移至他/她的遗产。
- Tenants by the Entirety. If a Joint owner dies, his/her interest passes to the other Account Owner (spouses only).  
完全共有共同账户。如果共同所有人死亡, 那么他/她的利益将转移至另一个账户所有人(仅限配偶)。
- Guardianship or Conservatorship (legal or court-order documents required). 监护或保管账户(需提供法律或法院命令文件)。
- Custodial Accounts (UGMA/UTMA) Under the Laws of (State)\* \_\_\_\_\_ Age of Termination\* \_\_\_\_\_。  
监管账户(UGMA/UTMA)根据(州)法\* \_\_\_\_\_ 终止年龄为\* \_\_\_\_\_。  
(state of UGMA/UTMA establishment must be provided 您必须提供创建UGMA/UTMA的所在州)

\*The age of termination varies by state, although most states set the age of termination at 21. If you do not indicate the age of termination, the account will be set up with the state's default age of termination according to applicable state law. Certain states permit the age of termination to be extended beyond the default statutory age of termination (usually up to 21 or 25 years of age). This election may be exercised only in those states that specifically provide for it, and only insofar as the extension complies with any applicable requirements.

**I understand that electing to extend the age of termination to age 25 may cause me to lose my annual exclusion from federal gift tax and that I should consult with an attorney or tax advisor before making this election.**

\*终止年龄因州而异, 但是大多数州将终止年龄定为21周岁。如果您没有指明终止年龄, 则该账户将根据适用州法, 设立该州的默认终止年龄。某些州允许延长默认的法定终止年龄(通常最多至21周岁或25周岁)。这种选择只能在那些专门提供此选项的州内进行, 且此延长须符合任何适用要求。

我了解选择将终止年龄延长至25周岁可能会导致我失去每年的联邦赠与税免除, 在进行此选择之前, 我应向律师或税务顾问进行咨询。



# 2

## Account Owner Information (Minor's information if UTMA/UGMA.)

### 账户持有人信息 (如果是UTMA/UGMA，未成年人的信息)

Name Prefix (optional) 姓名前缀(非必填) :  Mr. 先生  Mrs. 女士  Ms. 小姐  Dr. 博士  Rev. 牧师

First Name 名:

Middle Name 中间名:

Last Name 姓:

Date of Birth 出生日期:

Number of Dependents 抚养人数:

U.S. Social Security Number 美国社会安全号码:

Marital Status 婚姻状况:

Single 单身  Married 已婚  Divorced 离婚  Widowed 丧偶

Mother's Maiden Name 母亲的婚前姓:

Home Address 家庭地址 (不可使用PO Box或mail drop):

City 城市:

State 州:

Zip Code 邮政编码:

Country 国家:

Mailing Address 邮寄地址 (if different from above 如果与以上不同):

City 城市:

State 州:

Zip Code 邮政编码:

Country 国家:

Primary Phone 主要电话:

Check here if this is not a U.S. phone number  
如果不是美国电话号码，请勾选这里

Secondary Phone 次要电话:

Check here if this is not a U.S. phone number  
如果不是美国电话号码，请勾选这里

Fax Number 传真号码:

Email 电子邮件

(required for electronic delivery of your account statement and trade confirmations 用于电子递送您的账户对账单和交易确认):

Please specify if you are 请注明您是:

Employed 就业  Unemployed 失业  Retired 退休  Homemaker 家管  Student 学生  Self-Employed 自雇

Employer Name 雇主名称 (If Self Employed, provide the name of your business 如果是自雇人士，请提供您的企业名称):

Please choose from the list provided on page 12 the occupation code and industry of occupation code that most accurately describes your situation.  
请从第12页提供的清单中选择最准确描述您情况的职业代码和行业代码。

Occupation code 职业代码:

Industry of Occupation code 职业所属行业代码:

Employer Address 雇主地址:

City 城市:

State 州:

Zip Code 邮政编码:

Country 国家:

Annual Income 年收入:

\$0 - \$24,999  \$25,000 - \$49,999  \$50,000 - \$99,999  \$100,000 - \$249,999  \$250,000+

Approximate net worth 大概净资产 (not including primary residence 不包括主要住所):

\$0 - \$14,999  \$15,000 - \$49,999  \$50,000 - \$99,999  \$100,000 - \$249,999  
 \$250,000 - \$499,999  \$500,000 - \$999,999  \$1,000,000 - \$1,999,999  \$2,000,000+

Approximate liquid net worth 大概流动资产净值 (cash, stocks, etc. 现金、股票等):

\$0 - \$14,999  \$15,000 - \$49,999  \$50,000 - \$99,999  \$100,000 - \$249,999  
 \$250,000 - \$499,999  \$500,000 - \$999,999  \$1,000,000 - \$1,999,999  \$2,000,000+

**Section 2, Account Owner Information continued**

**继续第2节账户持有人信息**

What best describes the initial source of funds for this account? 此账户首笔存款的资金来源是？

- Employment/Wages 就业/工资
- Retirement Funds 退休资金
- Gift 赠与
- Legal Settlement 法律判决
- Inheritance/Trust 继承/信托
- Investments 投资
- Spousal/Parental Support 配偶/父母援助
- Unemployment/Disability 失业救济/残疾抚恤
- Lottery/Gaming 博彩收益
- Savings 储蓄
- Other 其它 (describe source of funds 描述资金来源):

What best describes the ongoing source of funds for this account? 此账户持续存款的资金来源是？

- Employment/Wages 就业/工资
- Retirement Funds 退休资金
- Gift 赠与
- Legal Settlement 法律判决
- Inheritance/Trust 继承/信托
- Investments 投资
- Spousal/Parental Support 配偶/父母援助
- Unemployment/Disability 失业救济/残疾抚恤
- Lottery/Gaming 博彩收益
- Savings 储蓄
- Other 其它 (describe source of funds 描述资金来源):

Check here if you are **NOT** a U.S. citizen.  
如果您不是美国公民，请勾选这里。

Country of Citizenship 国籍:

Country of Dual/Secondary Citizenship (if applicable):  
双重或第二国籍 (如适用):

Country of Birth 出生国家:

Non-U.S. citizens\*: Do you hold a current U.S. immigration visa? 非美国公民\*: 您现在持有美国移民签证吗?  Yes 是  No 否

Specify visa type 签证类别:

Visa Number 签证号码:

Expiration 到期日:

\* Nonresident aliens must submit a W-8BEN form, a copy of a current passport, and a copy of a bank or brokerage statement. If a U.S. address is listed, then attach a Letter of Explanation for U.S. Mailing Address/ Phone Number for Form W-8. This form can be found on the TD Ameritrade Forms Library: <https://www.tdameritrade.com/form-library>.

\* 非居民外国人必须提交W-8BEN表格、当前护照复印件以及银行或券商对账单的复印件。如果美国地址在以上列出，请在W-8表格附上美国邮寄地址/美国电话号码说明信。此表格可以在德美利证券的表格中心里找到：[https://www.tdameritrade.com/zh\\_CN/form-library](https://www.tdameritrade.com/zh_CN/form-library)。

**3**

**Account Co-Owner Information (Custodian's information if UTMA/UGMA.)**  
**账户共同持有人信息 (如果是UTMA/UGMA，监管人的信息)**

Guardian, Conservator, or Custodian (collectively, "Appointed Fiduciary") information should be entered here.  
监护人、管理人或监管人(统称为"指定受托人")的信息应在此输入。

Name Prefix (optional): 姓名前缀(非必填):  Mr. 先生  Mrs. 女士  Ms. 小姐  Dr. 博士  Rev. 牧师

First Name 名: Middle Name 中间名: Last Name 姓:

Are you the Account Owner's spouse?  Yes 是  
您是账户持有人的配偶吗?  No 否

Date of Birth 出生日期: \_\_\_\_\_

Number of Dependents 抚养人数: \_\_\_\_\_

U.S. Social Security Number 美国社会安全号码: \_\_\_\_\_

Marital Status 婚姻状况:

Single 单身  Married 已婚  Divorced 离婚  Widowed 丧偶

Mother's Maiden Name 母亲的婚前姓: \_\_\_\_\_

Home Address (if different from account owner, no PO box or mail drop.):  
家庭地址 (如不同于账户持有人，不可使用PO Box或mail drop):

City 城市: \_\_\_\_\_

State 州: \_\_\_\_\_

Zip Code 邮政编码: \_\_\_\_\_

Country 国家: \_\_\_\_\_

Mailing Address 邮寄地址 (if different from above 如果与以上不同):

City 城市: \_\_\_\_\_

State 州: \_\_\_\_\_

Zip Code 邮政编码: \_\_\_\_\_

Country 国家: \_\_\_\_\_

Section 3, Account Co-owner Information continued

继续第3节账户共同持有人信息

Primary Phone 主要电话:
 Check here if this is not a U.S. phone number
如果不是美国电话号码, 请勾选这里

Secondary Phone 次要电话:
 Check here if this is not a U.S. phone number
如果不是美国电话号码, 请勾选这里

Fax Number 传真号码:

Email 电子邮件
(required for electronic delivery of your account statement and trade confirmations 用于电子递送您的账户对账单和交易确认):

Please specify if you are 请注明您是:

- Employed 就业, Unemployed 失业, Retired 退休, Homemaker 家管, Student 学生, Self-Employed 自雇

Employer Name 雇主名称 (If Self Employed, provide the name of your business 如果是自雇人士, 请提供您的企业名称):

Please choose from the list provided on page 12 the occupation code and industry of occupation code that most accurately describes your situation.
请从第12页提供的清单中选择最准确描述您情况的职业代码和行业代码。

Occupation code 职业代码: Industry of Occupation code 职业所属行业代码:

Employer Address 雇主地址:

City 城市: State 州: Zip Code 邮政编码: Country 国家:

Annual Income 年收入:

- \$0 - \$24,999, \$25,000 - \$49,999, \$50,000 - \$99,999, \$100,000 - \$249,999, \$250,000+

Approximate net worth 大概净资产 (not including primary residence 不包括主要住所):

- \$0 - \$14,999, \$15,000 - \$49,999, \$50,000 - \$99,999, \$100,000 - \$249,999, \$250,000 - \$499,999, \$500,000 - \$999,999, \$1,000,000 - \$1,999,999, \$2,000,000+

Approximate liquid net worth 大概流动资产净值 (cash, stocks, etc. 现金、股票等):

- \$0 - \$14,999, \$15,000 - \$49,999, \$50,000 - \$99,999, \$100,000 - \$249,999, \$250,000 - \$499,999, \$500,000 - \$999,999, \$1,000,000 - \$1,999,999, \$2,000,000+

What best describes the initial source of funds for this account? 此账户首笔存款的资金来源是?

- Employment/Wages 就业/工资, Retirement Funds 退休资金, Gift 赠与, Legal Settlement 法律判决, Inheritance/Trust 继承/信托, Investments 投资, Spousal/Parental Support 配偶/父母援助, Unemployment/Disability 失业救济/残疾抚恤, Lottery/Gaming 博彩收益, Savings 储蓄, Other 其它 (describe source of funds 描述资金来源):

What best describes the ongoing source of funds for this account? 此账户持续存款的资金来源是?

- Employment/Wages 就业/工资, Retirement Funds 退休资金, Gift 赠与, Legal Settlement 法律判决, Inheritance/Trust 继承/信托, Investments 投资, Spousal/Parental Support 配偶/父母援助, Unemployment/Disability 失业救济/残疾抚恤, Lottery/Gaming 博彩收益, Savings 储蓄, Other 其它 (describe source of funds 描述资金来源):

Check here if you are NOT a U.S. citizen.
如果您不是美国公民, 请勾选这里。

Country of Citizenship 国籍:

Country of Dual/Secondary Citizenship(if applicable):
双重或第二国籍 (如适用):

Country of Birth 出生国家:

Non-U.S. citizens\*: Do you hold a current U.S. immigration visa? 非美国公民\*: 您现在持有美国移民签证吗?  Yes 是  No 否

Specify visa type 签证类别:

Visa Number 签证号码:

Expiration 到期日:

\* Nonresident aliens must submit a W-8BEN form, a copy of a current passport, and a copy of a bank or brokerage statement. If a U.S. address is listed, then attach a Letter of Explanation for U.S. Mailing Address/Phone Number for Form W-8. This form can be found on the TD Ameritrade Forms Library: https://www.tdameritrade.com/form-library.

\* 非居民外国人必须提交W-8BEN表格、当前护照复印件以及银行或券商对账单的复印件。如果美国地址在以上列出, 请在W-8表格附上美国邮寄地址/美国电话号码说明信。此表格可以在德美利证券的表格中心里找到: https://www.tdameritrade.com/zh\_CN/form-library。

# 4

## Affiliations

### 附属关系

Check here if the  **Account Owner** or  **Co-Owner**, their spouse, any member of their immediate families living in the same household, including parents, in-laws, siblings, and dependents is a member of the board of directors, 10% shareholder, or policy-making officer of a publicly traded company.  
 勾选这里·如果  账户持有人 或  共同持有人、其配偶以及任何居住在同一家庭的直系亲属·包括父母、姻亲、兄弟姐妹和家属是董事会成员、10%股东或上市公司的政策执行官。

**Specify the company name, ticker symbol, address, city, and state:**  
 请标明公司名称、股票代码、地址、城市 and 所在州:

Check here if the  **Account Owner** or  **Co-Owner**, their spouse, any member of their immediate families living in the same household, including parents, in-laws, siblings, and dependents is licensed, employed by, or associated with, a broker-dealer firm, a financial services regulator, securities exchange, or member of a securities exchange. If checked, please specify entity below.  
 勾选这里·如果  账户持有人 或  共同持有人、其配偶以及任何直系亲属·包括父母、姻亲、兄弟姐妹和家属是券商公司、金融服务机构、证券交易所或证券交易所成员雇用或相关的持照者。如果勾选·请在下方标明实体。

**If this entity requires its approval for you to open this account, please provide a copy of the required authorization letter (with this application):**  
 如果实体要求您在开户时经过审批·请提供所要求的授权书副本(连同此申请书):

# 5

## Investment Objectives

### 投资目标

For definitions regarding investment objectives, please see page 11 of the application.  
 有关投资目标的定义·请参阅申请书的第11页。

Select the degree of risk you are willing to take with the assets in this account 请选择对于此账户中的资产·您愿意承受的风险程度:

- Conservative 保护型     Moderate 适中型     Aggressive 激进型     Speculative 投机型

Select the primary investment objective for the account: 请选择此账户的主要投资目的:

- Conservation 保护型     Moderate 适中型     Moderate Growth 适中增长型     Growth 增长型     Aggressive Growth 激进增长型

Select the secondary investment objectives for the account: (Check at least one or all that apply):  
 请选择此账户的次要投资目的:(请选择至少一项或所有适用选项)

- Conservation 保护型     Moderate 适中型     Moderate Growth 适中增长型     Growth 增长型     Aggressive Growth 激进增长型     None 无

Select your liquidity needs for this account: (Check only one that applies)  
 选择您账户的流动性需求:(仅选择适用的一项)

- Within 3 months 3个月以内     4 - 6 months 4 - 6个月     7 - 9 months 7 - 9个月     10 - 12 months 10 - 12个月     More than 1 year 超过1年

Select the investment time horizon for this account: 请选择此账户的投资时间范围:

- Less than 1 year 少于1年     1 - 3 years 1 - 3年     4 - 6 years 4 - 6年     7 - 9 years 7 - 9年     10 - 12 years 10 - 12年     13 years or more 13年或以上

# 6

## Margin Privileges

### 融资融券权限

All qualified accounts are opened as margin accounts unless you decline margin privileges in this section. A margin account allows you to borrow from TD Ameritrade against certain securities as your collateral. A decline in the value of your securities may require you to provide additional funds, or force the sale of securities in your account. Selling short can expose you to potentially unlimited risk. To learn more about the potential benefits of margin borrowing and the associated risks involved, read the Margin Account Handbook and the Margin Disclosure Document located within the forms library: <https://www.tdameritrade.com/form-library>.

除非您在下方拒绝融资融券权限·所有合格账户均以融资融券账户开设。融资融券账户允许您以某些证券作为抵押向德美利证券贷款。如果您的证券价值下跌·可能会要求您提供额外的资金·或强制卖出您账户中的证券。卖空可能会使您面临潜在的无限风险。要详细了解融资融券贷款的潜在利益及相关风险·请阅读表格中心里的融资融券账户手册和保证金披露文件:[https://www.tdameritrade.com/zh\\_CN/form-library](https://www.tdameritrade.com/zh_CN/form-library)。

- Check this box if you want to decline margin privileges. If you do not check the box, your account will be opened as a margin account if it qualifies. By submitting this Account Application without checking the box to decline margin privileges, you represent that you understand and agree that margin features are subject to the terms and conditions of the Client Agreement, which you have agreed to by submitting this Account Application. You understand and acknowledge that securities securing loans from TD Ameritrade may be lent to TD Ameritrade and lent by TD Ameritrade to others. You also acknowledge that if you trade "on margin" you are borrowing money from TD Ameritrade and that you understand the requirements and risks associated with margin as summarized in the Margin Account Handbook and Margin Disclosure Document.

勾选此框·以拒绝融资融券权限。如果您不勾选此框·您的账户若合格则将以融资融券账户开设。通过提交没有勾选拒绝融资融券权限的本账户申请·表示您了解并同意融资融券特性受客户协议条款与规定的约束·且通过提交此账户申请书·您已同意这些条款和规定。您理解并承认·用于德美利证券抵押贷款的证券可能会贷给德美利证券·并可能由德美利证券贷给他人。您还承认·如果您以“融资融券(保证金)”方式进行交易·则是从德美利证券借钱·并且您了解融资融券账户手册和融资融券披露声明文件中概述的与融资融券相关的要求和风险。

# 7

## Funding Your Account 为您的账户注资

I will be funding with 我的注资方式为:

- A check. Please make check payable to TD Ameritrade Clearing, Inc.  
一张支票。请将支票抬头写给 TD Ameritrade Clearing, Inc.。
- A wire transfer to be initiated after account opening. Please contact TD Ameritrade prior to initiating wire transfer.  
电汇转账将在开户后启动。请在启动电汇转账之前联系德美利证券。
- A transfer of assets from an existing account. Please complete and include an Account Transfer Form and a copy of your most recent statement.  
从现有账户转移资产。请填写并附上账户转移表格和您最近一次的对账单副本。
- A transfer from an existing TD Ameritrade account. Please complete and include an Internal Transfer Form.  
从现有的德美利证券账户转账。请填写并附上内部转账表格。
- Stock certificates. Please contact TD Ameritrade prior to submitting certificates.  
股票凭证。请在提交凭证之前联系德美利证券。

# 8

## Cash Sweep Vehicle Choices 现金转存账户选择

You offer me choices in managing all aspects of my portfolio. This includes offering different programs to earn interest on the cash in my account through our Cash Balance programs. See the Client Agreement for a complete description of the Cash Sweep program. **If I do not make a selection, my cash balances will be swept to the TD Ameritrade FDIC Insured Deposit Account. Other sweep choices are available for clients with household values greater than \$500,000 and cash balances of more than \$100,000.** I understand my account statement will include sweep transactions involving money market funds in lieu of immediate trade confirmations.

你为我提供从各方面管理我投资组合的选择。这包括为我提供我账户中通过现金余额计划赚取现金利息的多种不同计划。请参阅客户协议了解现金转存计划的完整说明。**如果我不作出选择，我的现金余额将转存入德美利证券由FDIC保险的存款账户中。对于家庭账户价值大于50万美元以及现金余额超过10万美元的客户，可以选择其它的转存方式。**我知道我的账户对账单将包括涉及货币市场基金的转存交易，用于代替即时交易确认。

Please select only one.  
单选

- TD Ameritrade FDIC Insured Deposit Account 德美利证券FDIC保险存款账户 (IDA)
- TD Ameritrade Cash (Protected by the Securities Investor Protection Corporation (SIPC)) 德美利证券现金(受证券投资者保护公司[SIPC]保护)

# 9

## Trade Confirmations and Account Statements 交易确认和账户对账单

I understand that I will receive monthly account statements and trade confirmations electronically, unless I make a selection below. If I do not provide a valid email address, I will receive a quarterly paper statement or a monthly paper statement. Certain types of accounts or activity (such as options trading) require a monthly statement, either electronically or via U.S. mail. I will be responsible for any fees that apply. Accounts with a total liquidation value of \$10,000 or an average of five trades per month over a three-month period are eligible to receive free paper statement and confirmation delivery.

我了解，除非我在下面做出选择，否则我将以电子方式接收账户对账单和交易确认单。如果我没有提供有效的电子邮件地址，我将收到纸质季度对账单或每月对账单。某些类型的账户或活动(如期权交易)要求有电子或邮寄方式的每月对账单。我将对相应的任何费用负责。净持仓资产总额为10,000美元或在3个月内平均每月有五笔交易的账户将有资格获得免费纸质对账单和交易确认单的递送。

I elect to receive either electronic statements or electronic confirmations, I will receive shareholder information electronically when available.

我选择接收电子对账单或电子确认单，当有股东信息时我将以电子方式接收。

### Account Statement 账户对账单:

- Electronic Monthly 每月电子递送
- Paper Monthly (\$2 fee may apply each month) 每月纸质递送(每月可能有\$2费用)
- Paper Quarterly (\$2 fee may apply each quarter) 每季纸质递送(每季度可能有\$2费用)

### Trade Confirmation 交易确认单:

- Electronic 电子递送
- Paper 纸质递送

- Unless I have checked this box, TD Ameritrade is required to share my name and address with the companies I invest in through your services so they may contact me directly about my investment. If I direct you not to share, you will receive the information on my behalf and will forward it to me. Shareholder information includes proxy material, prospectuses, annual reports, and other corporate communications. In some cases, regulations may require sharing information with the companies in which I have invested despite this election.

除非我勾选此框，否则德美利证券将按照要求把我的名字和地址提供给通过您的服务我所投资的公司，以便他们可以就我的投资直接与我联系。如果我指示您不得分享我的个人信息，您将代表我接收该信息并将其转发给我。股东信息包括代理材料、招股说明书、年度报告和其他公司通讯。在某些情况下，规定要求共享信息给我投资的公司，则为此选项的例外情况。

# 10

## Offer Code (Optional) 优惠代码 (非必填)

By entering an offer code in this field, you represent and warrant that you have read and agree to the applicable Offer Terms & Conditions. If the offer code you enter is invalid, no offer will be applied to your account. If you have questions regarding offer codes, please call 1-800-454-9272.

通过在此处输入优惠代码，您声明并保证您已阅读并同意适用的优惠条款和规定。如果您输入的优惠代码无效，优惠将不会被应用到您的账户中。如果您有关于优惠代码的问题，请致电 1-800-454-9272，中文服务请致电 877-888-1238。

Offer Code 优惠代码: \_\_\_\_\_

# 11

## Trusted Contact (Optional) 可信任联系人(非必填)

By completing this section, you authorize TD Ameritrade to contact the person(s) named below for the following reasons: if there are questions or concerns about my whereabouts or health status; if TD Ameritrade suspects that I may be a victim of fraud or financial exploitation; if TD Ameritrade suspects that I might no longer be able to handle my financial affairs; to confirm the identity of any legal guardian, executor, trustee, authorized trader, or holder of a power of attorney; or if TD Ameritrade has any other concerns or is unable to contact me about my account(s) held at TD Ameritrade. **Please review the Client Agreement for the full terms and conditions regarding how TD Ameritrade uses this information.**

通过填写本节，您授权德美利证券可以通过以下理由与下列人员联系：如果对我的下落或健康状况有疑问或疑虑；如果德美利证券怀疑我可能是欺诈或金融剥削的受害者；如果德美利证券怀疑我可能不再有能力处理我的财务事务；为确认任何法定监护人、执行人、受托人、授权交易人或委托书持有人的身份；或者如果德美利证券对于我在德美利证券的账户有任何其它问题或无法联系我。请查阅客户协议了解有关德美利证券如何使用此信息的完整条款和规定。

**NOTE: Your Trusted Contact must be someone other than an account owner. You may provide more than two Trusted Contact Persons by completing and signing additional Authorization Forms.**

注意：您的可信任联系人不可以是账户所有人。您可以通过填写和签署额外的授权表格提供两个以上的可信任联系人。

First Name 名: \_\_\_\_\_ Middle Initial 中间名首字母: \_\_\_\_\_ Last Name 姓: \_\_\_\_\_

Relationship: 关系: \_\_\_\_\_

Phone Number 电话号码: \_\_\_\_\_ Email 电子邮件: \_\_\_\_\_

Mailing Address 邮寄地址: \_\_\_\_\_

City 城市: \_\_\_\_\_ State 州: \_\_\_\_\_ Zip Code 邮政编码: \_\_\_\_\_ Country 国家: \_\_\_\_\_

First Name 名: \_\_\_\_\_ Middle Initial 中间名首字母: \_\_\_\_\_ Last Name 姓: \_\_\_\_\_

Relationship: 关系: \_\_\_\_\_

Phone Number 电话号码: \_\_\_\_\_ Email 电子邮件: \_\_\_\_\_

Mailing Address 邮寄地址: \_\_\_\_\_

City 城市: \_\_\_\_\_ State 州: \_\_\_\_\_ Zip Code 邮政编码: \_\_\_\_\_ Country 国家: \_\_\_\_\_

# 12

## Options Account 期权账户

Due to the risks involved in options, we are required to obtain the following information. The income information above must be completed to be considered for options.

由于期权所涉及的风险，我们需要获得以下信息。收入信息必须完成才可能获得使用期权的权利。

Check this box to decline options privileges.  
勾选此框，拒绝期权权限。

### Options Objectives (Only required if applying for options.) 期权目标 (仅在申请期权时须填写此节)

For definitions regarding options objectives, please see page 11 of the application 有关期权目标的定义，请见申请表第11页。

Types of Transactions: (Check all that apply.)  
交易类型: (勾选所有适用项。)

- Stocks 股票       Bonds 债券       Options 期权

What Are Your Options Investment Objectives? (Check all that apply.)  
您的期权投资目标是？(勾选所有适用项。)

- Growth 增长型       Speculation 投机型       Income 收入型       Conservation of Capital 资本保护型

What Type of Activity Do You Plan to Conduct in Your Options Account?  
您计划在您的期权账户中进行哪种类型的活动？

- |  |   |   |  |
|--|---|---|--|
| <input type="checkbox"/> Tier 1 - Covered<br>Write covered calls<br>Write cash-secured puts<br>1级- 持保<br>卖出持保买权<br>卖出现金担保的卖权 | <input type="checkbox"/> Tier 2 - Standard Cash<br>Purchase options<br>+ Tier 1 - Covered<br>2级- 标准现金<br>买入期权<br>+ 1级- 持保 | <input type="checkbox"/> Tier 2 - Standard Margin<br>Create spreads<br>Write covered puts<br>+ Tier 2 - Standard Cash<br>2级- 标准融资融券<br>创建价差<br>卖出持保卖权<br>+ 2级- 标准现金 | <input type="checkbox"/> Tier 3 - Advanced<br>Write uncovered options<br>+ Tier 2 - Standard Margin<br>3级- 高级<br>卖出未持保期权<br>+ 2级- 标准融资融券 |
|--|---|---|--|

#### MARGIN REQUIRED

Tier 2 - Standard Margin and Tier 3 - Advanced require a margin account. If you select either of these tiers, you will automatically be applying for options and margin approval regardless of whether you checked the box to decline margin privileges in Section 6.

#### 要求是融资融券账户

2级- 标准融资融券和3级- 高级要求是融资融券账户。如果您选择其中一级，即使您在第6节中勾选了拒绝融资融券权限，您也将自动申请期权和融资融券权限。

### Account Owner Options Objectives (Only required if applying for options.) 账户持有人期权目标 (仅在申请期权时须填写此节)

Years of Investment Experience 投资经验年数:

- Less than 1 year 少于1年       1 - 2 years 1 - 2年       3 - 5 years 3 - 5年       6 - 9 years 6 - 9年       10+ years 10年以上

Investment Knowledge or Education 投资知识或教育:

- Limited 有限       Good 良好       Extensive 丰富       Professional Trader 专业交易者

### Account Co-Owner Options Objectives (Only required if applying for options.) 账户共同持有人期权目标 (仅在申请期权时须填写此节)

Years of Investment Experience 投资经验年数:

- Less than 1 year 少于1年       1 - 2 years 1 - 2年       3 - 5 years 3 - 5年       6 - 9 years 6 - 9年       10+ years 10年以上

Investment Knowledge or Education 投资知识或教育:

- Limited 有限       Good 良好       Extensive 丰富       Professional Trader 专业交易者



## Account Agreement 账户协议

I have received and read the Client Agreement, which is incorporated by this reference, that will govern my account.

I agree to be bound by this Client Agreement, as amended from time to time, and request an account to be opened in the name(s) set forth below. All securities, dividends, and proceeds will be held at TD Ameritrade Clearing, Inc., unless otherwise instructed. I understand that TD Ameritrade may obtain a current consumer or credit report to determine my eligibility, or continuing eligibility, for credit or for other legitimate business purposes. Any decision by TD Ameritrade to extend credit may be based on information contained in a consumer or credit report, as well as the policies of TD Ameritrade and TD Ameritrade Clearing, Inc. I understand that TD Ameritrade may relate information regarding this account, including account delinquency and voluntary closures, to consumer or credit reporting agencies. Upon my request, TD Ameritrade shall inform me of each consumer or credit reporting agency from which they have obtained and/or reported my consumer or credit report. TD Ameritrade agrees to notify the consumer or credit reporting agencies if I dispute the completeness or accuracy of the information furnished by TD Ameritrade. By my signature below, I authorize TD Ameritrade to obtain consumer or credit reports for the name(s) set forth below. I understand that non-deposit investments purchased through TD Ameritrade are not insured by the FDIC, are not obligations of or guaranteed by any financial institution, and are subject to investment risk and loss that may exceed the principal invested. Unless I have declined the margin feature, I acknowledge that securities securing loans from TD Ameritrade may be lent to TD Ameritrade and lent by TD Ameritrade to others. I also acknowledge that if I trade "on margin," I am borrowing money from TD Ameritrade and that I understand the requirements and risks associated with margin as summarized in the Margin Handbook and Margin Disclosure Document.

**For UTMA/UGMA Accounts:** Custodian agrees that the owner of the assets in this account is the minor according to applicable state UTMA/UGMA statutes and that Custodian will only use the assets for the benefit of the minor. Upon the minor attaining age of termination as indicated above, Custodian instructs TD Ameritrade, without further notice or instruction, to restrict Custodian's access to the account and register the account in the name of the minor. Custodian further agrees to provide TD Ameritrade, upon request, with the minor's current address, phone number, and other contact information.

### Important information about procedures for opening a new account:

**To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.**

**What this means for you: When you open an account, we will ask for your name, address, date of birth, and other information that will allow us to identify you. We may also utilize a third-party information provider for verification purposes and/or ask for a copy of your driver's license or other identifying documents. By my signature below, I attest that I am of legal age to contract, and I certify, to the best of my knowledge that the information provided on this application is complete and correct.**

**If this is a Joint account, all Account Owners must sign.** If you wish to trade options in your account, complete the Options Account section.

If an options account has been requested, I agree to abide by the rules of the listed options exchanges and the Options Clearing Corporation and will not violate current position and exercise limits. I have received and read the Client Agreement that will govern my account, and agree to be bound by it as currently in effect and as amended from time to time. I am aware of the risks involved in options trading and represent that I am financially able to bear such risks and withstand options-trading losses.

我已经收到并阅读通过此参考而纳入的客户协议，将用于管理我的账户。

我同意遵守此客户协议，以及其随时修订的内容，并以下述姓名申请开户。在没有特别指示的情况下，所有证券、股息及收益将由 TD Ameritrade Clearing, Inc. 保管。我了解德美利证券可能获取当前消费者或信用报告来决定我是否对于信用或其它合法商业用途具备资格或将继续具备资格。由德美利做出的任何延长信用的决定可能根据从消费者或信用报告获得的信息，以及德美利和 TD Ameritrade Clearing, Inc. 的政策。我了解德美利证券可能根据此账户涉及信息给消费或信用报告机构，包括账户违规和自愿关闭。在我的要求下，德美利证券应通知我每一次消费者或信用报告机构获取和/或报告我的消费或信用报告。如果对德美利证券所提供信息的完整性或准确性发生了争议，那么德美利证券同意通知消费或信用报告机构。通过我在下方签字，我授权德美利证券为下述姓名获取消费或信用报告。我了解通过德美利证券买入的非储蓄投资并非由 FDIC 保险，不由金融机构负责或担保，且承载投资风险且损失可能超过投资的本金。除非我拒绝使用融资融券，我承认从德美利证券担保贷款的证券可能被借给德美利证券或由德美利证券借给他人。我也承认如果我使用“融资融券”交易，那么我是从德美利证券借钱且我了解在融资融券手册和融资融券声明文件中总结的融资融券要求和相关风险。

**对于 UTMA/UGMA 账户：** 监管人同意，根据相应州/地区的 UTMA / UGMA 法规，该账户资产的所有者是未成年人，并且监管人仅能基于未成年人的利益使用该资产。如上述未成年人达到终止年龄，监管人指示德美利证券无需进一步通知或指示，即可限制监管人对账户的使用，并以未成年人的名义登记账户。监管人进一步同意如果德美利证券要求，将提供未成年人的当前地址、电话号码和其它联系信息。

### 开设新账户步骤的重要信息：

为了协助政府打击恐怖分子集资和洗钱活动，联邦法律要求所有金融机构获取、验证并记录确认每一个开户人身份的信息。

这对您意味着什么：当您开设账户时，我们将会向您询问您的姓名、居住地址、出生日期，以及其它帮助我们确认您身份的信息。出于信息确认的目的，我们也可以使用第三方信息供应商，或者要求您提供您的驾照或其它身份证明文件的副本。通过我在下方签名，我证明我具备合约的合法年龄，并且我证明，我尽我所知为此申请表提供完整和正确的信息。

**如果这是共同账户，所有账户持有人必须签字。** 如果您想在您的账户中交易期权，那么请填写期权账户部分。

如果我申请期权账户，我同意遵守期权交易所和期权清算公司的规则，并且不会违反当前仓位和行使限制。我已经收到并阅读用于管制我账户的客户协议，并同意遵守当前的有效版本以及未来可能随时修订的版本。我知道期权交易涉及的风险，并确认我在财务上有能力承担此类风险并承受期权交易损失。

Section 13, Account Agreement continued  
继续第13节账户协议

**If I am a U.S. person for tax purposes:**

Under penalties of perjury, I certify that: (1) the number shown on this form is my correct taxpayer identification number; (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Services (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; (3) I am a U.S. citizen or other U.S. person; and (4) the FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

If I have been notified by the IRS that I am subject to backup withholding because I have failed to report all interest and dividends on my tax return, I must cross out (2) in this certification.

**If I am not a U.S. Person for tax purposes:**

I am submitting the applicable Form W-8 with this form to certify my foreign status.

**如果我是美国人(用于税务目的):**

根据伪证处罚条例,我保证:(1)此表格上所示的数字为本人正确的纳税人识别号;(2)我不受税务预扣的限制因为(a)我豁免于税务提前预扣,或(b)由于没有申报所有的利息和股息,国税局(IRS)还没有通知我会受提前预扣税务的限制,或(c)国税局已经通知我不再受提前预扣税务的限制;(3)我是美国公民或其他美国人;以及(4)输入此表格的FATCA号码(如果有)表明我免受FATCA报告是准确的。

如果我已经收到国税局的通知,由于我没有在报税时报告我所有的利息和股息,致使我将受到提前预扣税务的限制,那么我必须在此证明中将(2)划除。

**如果我不是美国人(用于税务目的):**

我将适用的W-8表格与此表格一起递交,以确认我的外国人身份。

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

除了要求避免税务提前预扣的证明之外,美国国税局并不要求您同意此文档中的任何规定。

The Client Agreement applicable to this brokerage account contains a predispute arbitration clause. By signing this agreement, the parties agree to be bound by the terms of the Client Agreement, including the arbitration agreement located in Section 12 of the Client Agreement on page 17 and 18.

适用于此经纪账户的客户协议包含了纠纷前仲裁条款。通过签署此协议,各方同意遵守客户协议的条款,包括在客户条款第17页和第18页的第12节中的仲裁协议。

**Sign Here**  
请在此处签名

Original signatures are required; electronic signatures and/or signature fonts are not authorized. 必须为原始签名;不接受电子签名及/或字体签名。

Account Owner's/Appointed Fiduciary's Signature:  
账户持有人/指定受托人签名:

X

Date 日期: \_\_\_\_\_

Account Co-Owner's Signature:  
共同持有人签名:

X

Date 日期: \_\_\_\_\_

Investment Products:  
Not FDIC Insured \* No Bank Guarantee \* May Lose Value

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投资产品:  
非FDIC保险 \* 非银行保证 \* 可能损失价值

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## Investment Objectives Definitions

**Conservation:** Reflects your desire to seek very low risk and minimize potential loss of principal. You may seek income from your investments while understanding that returns may not keep pace with inflation. You may also intend to invest over a short period of time.

**Moderate:** Reflects your desire to seek lower risk and fluctuation in your portfolio, while striving to achieve more stable returns on your investments. It may also mean that you plan to invest over a short period of time.

**Moderate growth:** Reflects your desire to seek growth in your portfolio by typically using a balance of growth and conservative investment types. It may also mean that you are moderately tolerant of risk and plan to invest for a medium to long period of time.

**Growth:** Reflects your desire to seek the potential for investment growth, as well as your tolerance for more significant market fluctuations and risk of loss. It may also mean that you plan to invest over a long period of time.

**Aggressive Growth:** Reflects your desire for potentially substantial investment growth, as well as your tolerance for large market fluctuations and increased risk of loss. It may also mean that you plan to invest over a long period of time.

## Options Objectives Definitions

**Growth:** Investors are seeking the potential for investment growth and have a tolerance for more significant market fluctuations and risk of loss.

**Speculation:** Investors are seeking short-term market gains that generally have above average, maximum risk, but offer the potential for short-term, maximum gains. These strategies also have the potential for significant losses and investors understand they could lose most, or all, of the money they have invested.

**Income:** Investors are seeking income with a modest degree of risk. These investors are typically willing to accept lower potential returns in exchange for lower risk and volatility, and understand their returns may not keep pace with inflation.

**Conservation of Capital:** Investors are seeking to avoid risk and minimize potential loss of principal.

## 投资目标定义

**保护型：**反应了您寻求非常低的风险，且希望最小化本金的潜在损失。您希望从您的投资中获取收入，但也理解回报可能还没有通货膨胀高。您也可能想要短期投资。

**适中型：**反应了您寻求投资组合中较低的风险和波动，但是想要在投资中获取更稳定的回报。这也可能意味着您计划要短期投资。

**适中增长型：**反应了您通常结合使用增长型和保守型投资类型来寻求投资组合中的增长。这可能也意味着您的风险承受能力适中，并计划要中期或长期投资。

**增长型：**反应了您寻求潜在的投资增长，以及您有承受更大市场波动和损失风险的能力。这也可能意味着您计划要长期投资。

**激进增长型：**反应了您寻求潜在的大幅投资增长，以及您能承受巨大市场波动和增加的损失风险的能力。这也可能意味着您计划长期投资。

## 期权目标定义

**增长型：**投资人寻求潜在的投资增长，并且有承受更大市场波动和损失风险的能力。

**投机型：**投资人寻求通常有高于平均值最大风险，但提供潜在短期最大收益的短期市场增长。这些策略也有可能承受巨大损失且投资人应了解他们可能损失大部分或所有投资的资金。

**收入型：**投资人寻求附有中等风险的收入。这些投资人通常愿意接受较低的潜在回报以换取较低风险和波动性，并理解其回报可能还没有通货膨胀高。

**资本保护型：**投资人寻求避免风险并最小化潜在本金的损失。

## Occupation Codes 职业代码

A42 Accountant/Auditor/Bookkeeper 会计/审计员/记账员	C52 Civil Servant 公务员	M91 Mechanic 机械师
A62 Adjuster 理赔员	C62 Clergy 神职人员	M22 Military, Officer or Associated 军事·军官或相关人员
A82 Advertiser/Marketer/PR Professional 广告/营销/公关人员	C72 Clerk 职员	M32 Mortician/Funeral Director 殡仪业者/殡仪馆长
A33 Air Traffic Controller 空中交通管制员	C82 Compliance/Regulatory Professional 法检/法规专员	N21 Nurse 护士
A43 Ambassador/Consulate Professional 大使/领事馆专员	C92 Consultant 顾问	O11 Office Associate 办公室助理
A53 Analyst 分析师	C43 Counselor/Therapist 辅导员/治疗师	O21 Other; If Other, include a description in the Occupation box. 其他; 如果选其他·则在职业框中填写说明
A63 Appraiser 评估师	C53 Customer Service Representative 客服代表	P81 Pharmacist 药剂师
A73 Architect/Designer 建筑师/设计师	D11 Dealer 销售商	P91 Physical Therapist 物理治疗师
A83 Artist/Performer/Actor/Dancer 艺术家/表演家/演员/舞蹈家	D61 Dentist 牙医	P22 Pilot 飞行员
A93 Assistant/Executive Assistant 助理/行政助理	D31 Distributor 经销商	P32 Police Officer/Firefighter/ Law Enforcement Professional 警务人员/消防员/执法人员
A44 Athlete 运动员	D41 Doctor/Surgeon/Physician 医生/外科医生/医师	P42 Politician 政客
A64 Attorney/Judge/Legal Professional 律师/法官/法务人员	D51 Driver 司机	P52 Project Manager 项目经理
A74 Auctioneer 拍卖员	E51 Engineer 工程师	R81 Real Estate Professional 房地产专员
L51 Banker/Lending Professional 银行家/贷款专员	E71 Exterminator 灭虫员	R71 Researcher 研究员
B21 Barber/Beautician/Hairstylist 理发师/美容师/发型师	F71 Factory/Warehouse Worker 工厂/仓库工人	S41 Salesperson 销售员
B31 Broker/Registered Rep 经纪人/持牌服务代表	F81 Farmer/Rancher 农夫/牧场主	S51 Scientist 科学家
B41 Business Executive (VP, Director, etc.) 企业高管(副总裁·总监等)	F91 Financial Planner/Advisor 财务规划师/理财顾问	S61 Seamstress/Tailor 裁缝
B51 Business Owner 企业家	F22 Flight Attendant 飞机乘务员	S71 Security Guard 保安员
C81 Caregiver 护工	F32 Human Resources Professional 人力资源专员	S81 Social Worker 社工
C91 Carpenter/Construction Worker/ Contractor 木匠/建筑工人/承包商	I41 Importer/Exporter 进口商/出口商	T41 Teacher/Professor 教师/教授
C22 Cashier 收银员	I51 Inspector/Investigator 督察/调查员	T51 Technician 技术员
C32 Chef/Cook 厨师/厨子	I81 Investor 投资者	T61 Teller 出纳员
C42 Chiropractor 脊椎治疗师	I91 IT Professional/IT Associate 信息技术专业人员/IT人士	T71 Tradesperson/Craftsperson 交易员/工匠
	J31 Janitor 看门人	T81 Trainer/Instructor 教练/讲师
	J41 Jeweler 珠宝商	U21 Underwriter 承销商
	L31 Laborer 劳工	V11 Veterinarian 兽医
	L41 Landscaper 园艺师	W21 Writer/Journalist/Editor 作者/记者/编辑

## Industry of Occupation Codes 行业代码

A11 Accounting 会计	E41 Engineering 工程	N11 Non-Profit/NGO (Non-Government Agency)/Charity 非盈利/非政府机构(NGO)/慈善
A21 Advertising/Marketing 广告/营销	F11 Fashion/Clothing 时尚/服装	O31 Other; If Other, include a description in the Industry of Occupation box 其他; 如果选其他·则在职业框中填写说明
A31 Aerospace/Defense 航空航天/国防	F21 Financial Services 金融服务	P11 Parking and Car Washes 停车场和洗车
A41 Agriculture/Forestry 农业/林业	F51 Firearms and Explosives 武器和炸药	P21 Pawn Shops/Brokers 典当行/经纪
A51 Amusement and Recreation 娱乐休闲	G11 Gaming/Casino/Card Club 博彩/赌场/纸牌俱乐部	P31 Personal Care/Hygiene (Beauty, Salon, Cosmetics, Massage, etc.) 个人护理/卫生(美容、美发、化妆品、 按摩等)
A61 Animal Services and Veterinary 动物服务和兽医	G21 Government/Public Administration 政府/公共事业管理	P41 Pharmaceuticals 制药业
A71 Architecture/Design 建筑/设计	G31 Grocery/Supermarket 杂货店/超市	P51 Printing/Publishing 印刷/出版
A81 Arts/Antiques 艺术/古董	H11 Healthcare/Medical Services 医疗保健/医疗服务	P71 Professional/Civic Organizations (Non-Retail) 专业人员/民间组织(非零售)
A91 Athletics/Fitness 运动员/健身	H21 Hotel/Hospitality 旅店/酒店业	R11 Real Estate 房地产
A32 Automotive 汽车	I11 Import/Export 进口/出口	R21 Religious Organization 宗教组织
B11 Aviation 航空	I21 Information Technology (IT) 信息技术(IT)	R31 Repair Services - Home, Auto, and Other 维修服务-居家、汽车和其它
C11 Bar/Nightclub/Adult Entertainment Club 酒吧/夜总会/成人俱乐部	I31 Insurance 保险	R41 Restaurant/Food Service 餐厅/食品服务
C21 Childcare 托儿所	J11 Jewelry, Gems, and Precious Metals 珠宝、宝石和贵金属	R51 Retail Sales/Retail Trade 零售/零售行业
C31 Cleaning/Janitorial/Housekeeping 清洁/保洁/家管	L11 Legal Services/Public Safety 司法/公共安全	S11 Science and Biotechnology 科学与生物技术
C41 Communications/Telecommunications 通信/电信	L21 Logistics/Supply Chain 物流/供应链	S21 Security 保安
C51 Construction/Carpentry/Landscaping 建筑/木工/绿化	M11 Manufacturing 制造业	T11 Transportation 运输
C61 Convenience Store/Liquor Store/ Gas Station 便利店/酒店店/加油站	M21 Maritime 海运	T31 Travel 旅游
C71 Customer Service and Support 客户服务和支援	M31 Media/Entertainment 媒体/娱乐	U11 Utilities (Public) 公用事业(公共)
E11 Education 教育	M41 Mining, Oil, and Gas 矿业、石油和天然气	W11 Wholesale Sales/Trade 批发销售/贸易
E21 Embassy/Consulate 大使馆/领事馆	M51 Money Services Businesses (Check Cashing, Money Transmitting, Payday Loans, Currency Exchange) 钱币服务业(支票兑现、现金转移、薪金 贷款、货币兑换)	